

NOV-04-03 TUE 03:15 PM

FAX NO.

P. 04

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)  
EL026R11-1

Application Number 10/669,202

Applicant(s)  
Elisa Holding LLC

Filing Date  
9/23/03

Group Art Unit

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WPF		1,068,410A	7/1913	Chubb			
		1,951,039A	3/1934	Scharschu			
		2,282,163A	5/1942	Burgwin			
		2,385,332A	9/1945	Carpenter et al.			
		2,554,250A	5/1951	Horstman et al.			
		2,641,556A	6/1953	Robinson			
		2,799,658A	7/1957	Nickerson			
		2,803,566A	8/1957	Smith-Johannsen			
		2,811,473A	10/1957	Allen et al.			
		2,978,361A	4/1961	Seidl			
		3,133,829A	5/1964	Cupery et al.			

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO
WPF	WO 98/33856	8/1998	WO			
	WO 98/33874	8/1998	WO			
	WO 98/33960	8/1998	WO			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

WPF	Encapsulated Nanoparticles of Iron Metal, K I. Klabunde, D. Zhang, D.N. Gleave and C.M. Sorensen, G.C. Hadjipanayis - Reprinted from Chemistry of Materials, 1994, 6 pages 784-787.
WPF	Nanoscale Iron Crystallites Encapsulated in Nonmagnetic Metal Shells, Synthesis, Chemical, and Magnetic Properties of Core/Shell Iron-Indium, Iron-Neodymium, and Related Materials, Dajie Zhang, George Gleave, Kenneth J. Klabunde, George C. Hadjipanayis, and Christopher M. Sorensen, High Temperature and Materials Science, vol. 36, 1996, pp. 93-115.

Examiner /William Phillip Fletcher III/

Date Considered 05/29/2006

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P. 05

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional)		Application Number	
Applicant(s)							
Filing Date				Group Art Unit			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WPF ↓		3,224,927A	12/1965	Brown et al.			
		3,301,701A	1/1967	Baker et al.			
		3,372,038A	3/1968	Weldes et al.			
		3,583,887A	6/1971	Steger et al.			
		3,615,918A	10/1971	Evans et al.			
		3,752,689	8/1973	Moore, Jr.			
		3,793,073A	2/1974	Kitayama et al.			
		3,796,608A	3/1974	Pearlman			
		3,832,204A	8/1974	Boaz			
		3,839,256A	10/1974	Parkinson			
		3,908,066	9/1975	Parkinson, Robert E			
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
WPF		Nanoscale Magnetic Particles, New Methods to Surface Protected Metallic and Immiscible Bimetallic Clusters/Particles - Kathie A. Eason, Kenneth J. Blabunde and Christopher M. Sorensen and George C. Hadjipannayis - Polyhedron vol. 13, No. 8, pp. 1197-1223, 1994.					
WPF		A Description of the Functions and Process Tests for Cast Aluminum Induction Motor Squirrel Cage Rotors - J. Herb Johnson - Rotor Technology 86, Feb. 5 7, 1986, Indianapolis, Indiana					
EXAMINER /William Phillip Fletcher III/				DATE CONSIDERED 05/29/2006			

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P. 06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
Form PTO-A820 (also form PTO-1449)

Sheet

OF

### INFORMATION DISCLOSURE CITATION

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Group Art Unit

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	RBP	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WPF		3,910,797A	10/1975	Beers			
		3,917,648A	11/1975	McLeod			
		3,945,862A	3/1976	Lee et al.	148	31.5	
		3,996,073A	12/1976	Evans			
		4,016,129A	4/5/77	Majosawa	524	337	
		4,032,366A	6/1977	Choby, Jr.			
		4,120,702A	10/1978	Akerblom	148	6.15R	
		4,255,205A	3/1981	Morito et al.			
		4,288,492A	9/8/81	Hiromae et al.	428	336	
		4,479,104A	10/1984	Ettinger et al.	336	219	
		4,496,399A	1/1985	Haselkorn			

### FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

WPF	Serial No. 09578,728 was filed on 5/24/00 - Robert L. Heimann, et al. - "Coating Compositions for Electronic Components and Other Metal Surfaces and Methods for Making and Using the Compositions."

Examiner /William Phillip Fletcher III/

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional)		Application Number		
				Applicant(s)				
				Filing Date		Group Art Unit		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
WPF		4,507,360A	3/1985	Perfetti				
		4,517,325A	5/1985	Perfetti				
		4,601,765A	7/1986	Soileau et al.				
		4,618,377A	10/1986	Nakamura et al.				
		4,844,753A	7/1989	Katayama et al.				
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		4,875,947A	10/1989	Nakamura et al.				
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		5,045,350A	9/3/91	Benford et al.	427/127			
		5,063,011A	11/1991	Rutz et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
EXAMINER				DATE CONSIDERED				
/William Phillip Fletcher III/				05/29/2006				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
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P. 08

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional)		Application Number	
U.S. PATENT DOCUMENTS				Applicant(s)			
Filing Date				Group Art Unit			
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WPF		5,108,793A	4/1992	van Ooij et al.	422/13		
↓		5,161,597A	11/1992	Dohogne			
		5,182,963A	2/1993	Perisho et al.			
		5,213,916A	5/1993	Smyth, Jr. et al.			
		5,268,140A	12/1993	Rutz et al.			
		5,298,059A	3/29/94	Takimoto et al.	106/14,19		
		5,330,794A	7/1994	Bosco et al.	427/387		
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		5,507,883A	4/16/96	Tanaka et al.	148/113		
	↓		5,510,188A	4/1996	Vockler		
FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
/William Phillip Fletcher III/				05/29/2006			
<small>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Form PTO-A820 (also form PTO-1449)</small>							
				Sheet 5 OF 7			

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P. 09

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional)		Application number		
				Applicant(s)				
				Filing Date		Group Art Unit		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
WPF		5,714,093A	2/1998	Heimann et al.				
		5,723,181A	3/1998	Roberts				
		5,776,261A	7/1998	Panyard et al.				
		5,789,085A	8/1998	Blohowiak et al.				
		5,871,668A	2/1999	Heimann et al.				
		5,922,413A	7/1999	Takeda				
		5,955,201A	9/1999	Loudermilk, et al.	428/450			
		5,961,744A	10/1999	Yamazaki et al.				
		6,015,855A	1/2000	Dalton				
		6,033,495A	3/2000	McGowan et al.				
		6,045,925A	4/2000	Klabunde et al.				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
EXAMINER				DATE CONSIDERED				
/William Phillip Fletcher III/				05/29/2006				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Form PTO-AR20 (also form PTO-1449)								

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Part of 10/669,202

IDS(04/12/2004)

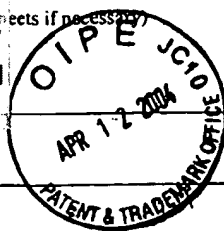
Total Pages of Citations: 7

Examiner: W.P. Fletcher III

Group Art Unit 1762

## INFORMATION DISCLOSURE CITATION

(If several sheets, please attach)



Docket Number (Optional)

EL026RH-1

Application Number 10/669,202

Applicant(s)

Heimann and Flinn/Elisha Holding LLC

Filing Date

9/23/03

Group Art Unit

1762

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
WPF	✓	3,184,813	5/25/65	Patrick J.O'Shea			
WPF	✓	6,455,100 B1	9/24/02	Heimann et al.			
WPF	*	09/578,228	5/24/00	Heimann et al.			
WPF	*	10/669,202	9/23/03	Heimann et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
WPF	✓	WO 01/71067 A2	9/27/01	PCT				
WPF	✓	WO 03/012167 A2	2/13/03	PCT				
WPF	✓	WO 99/20417	4/29/99	PCT				
WPF	*	XP 000226261	9/91					
WPF	*	XP 002274145 - JP49097726						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

WPF		PCT International Search Report - EL026RH-PCT

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